# STEPS TO SCHEDULE YOUR SIE EXAM – TESTING CENTER

After you enroll for the SIE, you will receive an enrollment confirmation email from FINRA that includes instructions on how to schedule your appointment

- Write down your Assigned ID number for future use:
- Your 120 days window date will appear
- ✓ Click on www.prometric.com/finra to schedule your exam (make sure to open link in CHROME)

| Enrollment Notice for                                                                                                                                                                                                                                                                                       |                                                                                                                                                                          |                                                                                                                                           |                                                     |                                                          |                                                  |               |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------|--------------------------------------------------|---------------|
| CandidateServices <candidateservices@finra.org></candidateservices@finra.org>                                                                                                                                                                                                                               |                                                                                                                                                                          |                                                                                                                                           |                                                     | Keply All                                                | $\rightarrow$ Forward                            |               |
| To O Contraction P                                                                                                                                                                                                                                                                                          |                                                                                                                                                                          |                                                                                                                                           |                                                     |                                                          | Thu 9/24/2020 1                                  | 11:25 AM      |
| Retention Policy 8 Year Delete (8 years)                                                                                                                                                                                                                                                                    | Expires 9/22/2028                                                                                                                                                        |                                                                                                                                           |                                                     |                                                          |                                                  |               |
| FINTA                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                          |                                                                                                                                           |                                                     |                                                          |                                                  |               |
| We have recreated and processed the request for the site securities industry Essentials                                                                                                                                                                                                                     | s (SIE) Exam.                                                                                                                                                            |                                                                                                                                           |                                                     |                                                          |                                                  |               |
| Your assigne ID number is: Teleform An enrollment windo has been opened from 09/25/2020 to 01/2:                                                                                                                                                                                                            | 2/2021.                                                                                                                                                                  |                                                                                                                                           |                                                     |                                                          |                                                  |               |
| To Take an E                                                                                                                                                                                                                                                                                                |                                                                                                                                                                          |                                                                                                                                           |                                                     |                                                          |                                                  |               |
| You must schedule and take the exam before the expiration detranewn above. Exams are administered at                                                                                                                                                                                                        | conveniently located test centers operated by Pror                                                                                                                       | metric. Your ID number is required to sche                                                                                                | dule an appoi                                       | ntment, which o                                          | an be done:                                      |               |
| <ul> <li>online using <u>www.prometric.com/finre</u> for web-based scheduling or</li> <li>by contacting <u>Prometric's National Call Center at (800) 578-6273 (toll free).</u></li> </ul>                                                                                                                   |                                                                                                                                                                          |                                                                                                                                           |                                                     |                                                          |                                                  |               |
| If, for any reason, you cannot make a scheduled appointment, you must reschedule or cancel the appointm<br>date shown above, the enrollment expires and the fee is forfeited.                                                                                                                               | nent in a timely manner. For detailed information, p                                                                                                                     | please review <u>FINRA's cancellation policy</u> . I                                                                                      | f you do not t                                      | ake the exam be                                          | efore the expira                                 | ation         |
| To Take Continuing Education (CE)                                                                                                                                                                                                                                                                           |                                                                                                                                                                          |                                                                                                                                           |                                                     |                                                          |                                                  | I             |
| To complete your CE session, log into <a href="https://testenrollment.finra.org/CE">https://testenrollment.finra.org/CE</a> by selecting the option to launch<br>expiration date shown above, the CE enrollment expires and the fee is forfeited.                                                           | CE Online. You must complete the CE session before                                                                                                                       | re the expiration date shown above. If you                                                                                                | do not compl                                        | lete the CE sessi                                        | ion before the                                   |               |
| If you have any questions, please contact the FINRA support team at (240) 386-4040.                                                                                                                                                                                                                         |                                                                                                                                                                          |                                                                                                                                           |                                                     |                                                          |                                                  |               |
| Confidentiality Notice:: This email, including attachments, may include non-public, proprietary, confidential that any dissemination, distribution or copying of the information contained in or transmitted with this e-mail, its attachments, and any copies of it immediately. You should not retain, co | I or legally privileged information. If you are not an<br>nail is unauthorized and strictly prohibited. If you ha<br>opy or use this e-mail or any attachment for any pu | intended recipient or an authorized agen<br>ave received this email in error, please not<br>rpose, nor disclose all or any part of the co | t of an intende<br>ify the sender<br>ontents to any | ed recipient, you<br>by replying to 1<br>other person. T | u are hereby no<br>this message an<br>Thank you. | rtified<br>nd |
|                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                          |                                                                                                                                           |                                                     |                                                          |                                                  |               |

#### \*\*\*\*\*

✓ Click on Option 1 to take your test at <u>Testing Facility</u>

|                                                                                                | Site Updates Become a Test Center Careera Eventa Calendar 🕺 English (US)                                                                                                                                                                                                                                                                                                                |
|------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                | TID-<br>TAKERS TEST REMOTE ABOUT CONTACT > Q SEARCH                                                                                                                                                                                                                                                                                                                                     |
| FINCA                                                                                          | FINRA EXAMS                                                                                                                                                                                                                                                                                                                                                                             |
| TEST CENTER EXAM  Coolie Schedule Confirm  Reachedule/Cancel  REMOTELY PROCTORED EXAM Schedule | Welcome Candidates!         Taking a securities industry qualification exam through Prometric now comes with options. Review below to learn more about the offerings that Prometric extends to support candidates and firms.         Scheduling Your Exam:         You now have two options to schedule your exam:         Option 1: Schedulia your exam in a Prometric test center (2) |
| Reschedule<br>Confirm<br>Cancel                                                                | All FINRA exame are available to be scheduled at a Prometric test center.                                                                                                                                                                                                                                                                                                               |
| FINRA FIRMS CLICK HERE                                                                         | Back-to-back scheduling [2] is a feature that allows for the scheduling of two appointments on the same<br>day and at the same test center in one transaction. If you want to schedule exams on different days, you must<br>select each exam individually and complete individual appointment transactions.                                                                             |
| PREPARE FOR TEST DAY                                                                           |                                                                                                                                                                                                                                                                                                                                                                                         |

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### **Choose your Country and State**

✓ Click Next



### Review the information



|                         | English                                                                                                                                                                                                                                                                                                            |
|-------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| NEW ATTOINTMEN          | In Information Review                                                                                                                                                                                                                                                                                              |
| nformation Review       | Information Review                                                                                                                                                                                                                                                                                                 |
| Privacy Policy Review   |                                                                                                                                                                                                                                                                                                                    |
| ligibility Information  | FIDCA                                                                                                                                                                                                                                                                                                              |
| ppointment Selection    | Filldy                                                                                                                                                                                                                                                                                                             |
| ate and Time Selection  | Please visit www.prometric.com/FINRA 24-hours before your scheduled exam to verify your appointment.                                                                                                                                                                                                               |
| dditional Information   |                                                                                                                                                                                                                                                                                                                    |
| opointment Verification | How to Become Eligible<br>You must have renistared for your examination(s) with EINBA and received authorization from your sponsorion firm prior to                                                                                                                                                                |
| ppointment Complete     | scheduling your testing appointment at a Prometric Testing Center.                                                                                                                                                                                                                                                 |
|                         | Testine Assessmentations                                                                                                                                                                                                                                                                                           |
|                         | resuing accommodations<br>If you require testing accommodations, you cannot schedule your test via the Internet. If you have received or would like to<br>request approval for an accommodation, please call FINION's Special Conditions Team at 800-896-8047 and select Option 2 to<br>schedule your examination. |
|                         | What Time to Arrive at the Testing Center                                                                                                                                                                                                                                                                          |
|                         | Plan to arrive 30 minutes before the scheduled appointment to allow time for cheel                                                                                                                                                                                                                                 |
|                         | may not be allowed to test.                                                                                                                                                                                                                                                                                        |
|                         | What to Bring to the Testion Center                                                                                                                                                                                                                                                                                |
|                         | Next >                                                                                                                                                                                                                                                                                                             |
|                         |                                                                                                                                                                                                                                                                                                                    |
|                         |                                                                                                                                                                                                                                                                                                                    |

✓ Select *I confirm* that I am at least 18 year of age

#### ✓ Select *I consent*

✓ Click Next



#### \*\*\*\*\*

Fill in your FINRA ID (this is the number from the Enrollment notice email) and your First and Last Name

✓ Click on Next

|                          |                                                                                          | English |
|--------------------------|------------------------------------------------------------------------------------------|---------|
| NEW APPOINTME            | NT:                                                                                      |         |
| nformation Review        | Eligibility Information                                                                  |         |
| Privacy Policy Review    | Refere proceeding, please provide the following information                              |         |
| Eligibility Information  | T                                                                                        |         |
| Appointment Selection    | FINRA ID (CRD#, TESS# or U10#) :                                                         |         |
| Test Center Selection    | First (Given) Name :                                                                     |         |
| Date and Time Selection  | Last (Family) Name :                                                                     |         |
| Additional Information   |                                                                                          |         |
| Appointment Verification | In you do not know your program identities, please contact your sponsoring organization. |         |
| Appointment Complete     | R Back Noors                                                                             |         |
|                          |                                                                                          |         |
|                          | Trusted Provider of Market Leading Test Development and Delivery Solutions               |         |
|                          | Career Opportunities   Terms   Privacy   Ethics 1@ 2020 Prometric                        | 1000    |

Type in where you would like to select your Testing center location by Zip or City/State

✓ Click search

|                          | English                                                                                                                |
|--------------------------|------------------------------------------------------------------------------------------------------------------------|
|                          |                                                                                                                        |
| NEW APPOINTMENT: TES     | I CENTER SELECTION                                                                                                     |
|                          |                                                                                                                        |
| Information Review       | ast Center Selection                                                                                                   |
| Privacy Policy Review T  | find the closest location(s), please enter a preferred address, city/state, or ZIP/postal code where you would like to |
| Eligibility Information  | hedule your appointment in the search box below.                                                                       |
| Appointment Selection    |                                                                                                                        |
| Test Center Selection    | Address, Neighborhood, City OR Zip/Postal Code Search                                                                  |
| Date and Time Selection  | e.g., "1501 Clinton St, Baltimore, MD" or "Paris, France" or "90210"                                                   |
| Additional Information   |                                                                                                                        |
| Appointment Verification | Search Area: 200 • Unit: mi •                                                                                          |
| Appointment Complete     | (17)                                                                                                                   |
|                          | Map Satellite 🤯 []                                                                                                     |
|                          | y Troy 😳 🛱                                                                                                             |
|                          | THE BROKE                                                                                                              |
|                          | MARHATTAN                                                                                                              |
|                          | Newark New York COURSES Harmoste                                                                                       |
|                          | ваоокцум                                                                                                               |
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|                          |                                                                                                                        |
|                          | an                                                                                                                     |
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✓ Select preferred testing center by click on Schedule an appointment (right of testing center)

|                          | . 14                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| NEW APPUINTMEN           | : TEST CENTER SELECTION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
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| Information Review       | 1. Martin and a second se |                               | - have been disabased                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| Privacy Policy Review    | Tour search returned more than 20 si                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | tes. Only the nearest 20 site | s nave been displayed.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| Eligibility Information  | Test Center Selection                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| Appointment Selection    | To find the closest location(s), please er                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | ter a preferred address, ci   | ty/state, or ZIP/postal code where you would like to                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| Test Center Selection    | schedule your appointment in the searc                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | h box below.                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| Date and Time Selection  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| Additional Information   | 11563                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                               | Search                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| Appointment Verification | e.g., "1501 Cli                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | nton St, Baltimore, MD* or "I | Paris, France" or "90210"                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Appointment Complete     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|                          | A215. Long Island - Westbury                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Schedule an                   | Search Area: 200 Vinit: mi V                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|                          | Y 865 Merrick Ave., Suite 70N<br>Westburg, NY 11590                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Appoil, hent                  | n Appointment - 4215:Long Bland - Westbury HAM Deut                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|                          | 11000000, 111 11000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | (-7mi)                        | Map Satellite                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|                          | (B) 4212: Queens 59-17 Junction                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Schedule an                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|                          | Y Blvd                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Appointment                   | N Providence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|                          | 58-17 Junction Blvd<br>Suite 02024                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | (~11mi)                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|                          | Queens, NY 11368                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                               | CHE T RI                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|                          | 6 5823, Long Island - Melville                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Schedule an                   | VANIA P NAVAK                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|                          | Y 35 PINELAWN RD                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Appointment                   | Contraction of the second seco |
|                          | MELVILLE, NY 11747                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | (~16mi)                       | PhileOphia                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|                          | 4235 Brooklyn NY - Bridge                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Schedule an                   | RYLAND NEW JERSEY                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|                          | Street                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Appointment                   | shington                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|                          | 384 Bridge St.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Get Directions                | PELAWARE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |

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#### **Biometric Collection Disclosure window appear**

- ✓ Select I consent
- ✓ Click Next



Select on how you want to choose your dates (range or specific day)

✓ Select month range – Select Go to select your preferred date

| PROMETRIC                                                                                        | *                                                                                                                                                                                                       |         |
|--------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|
| NEW APPOINTMEN                                                                                   | Te                                                                                                                                                                                                      | English |
| Information Review<br>Privacy Policy Review<br>Eligibility Information<br>Appointment Selection  | Date and Time Selection The appointment duration is 2-hour(s) and 15-minutes. Select an available month and click the 'Go' button. When the calendar(s) appear, select an available date and then time. |         |
| Test Center Selection Prometric Biometric Consent Date and Time Selection Additional Information | <ul> <li>Find available seats in a 3 month period.</li> <li>Find available seats for a specific day</li> </ul>                                                                                          | Go      |
| Appointment Verification Appointment Complete                                                    | < Back Next>                                                                                                                                                                                            | Þ       |
|                                                                                                  | Trusted Provider of Market Leading Test Development and Delivery Solutions<br>Gareer Opportunities ] Terms   Privacy   Ethics   © 2020 Prometric                                                        |         |

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### Select date you would like to take exam

Once you select a date - the available times will appear on the right

- ✓ Select preferred time
- ✓ Select Next

| Date and mile over          | C2210.201                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
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| The appointment duration is | s 2-hour(s) and 15-minutes.                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| Select an available month a | and click the 'Go' button. Whe                                                                                                                                                                                                                                                       | en the calendar(s) appear, select a                                                                                                                                                                                                                                                                                                                                                                                                                                | an available date and then time.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|                             |                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| Find available seats in     | a 3 month period.                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | (and the second se |
| Find available seats for    | or a specific day.                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | December 2020 • Go                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
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|                             |                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| Select an available date    |                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Select an available                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| December 2020               | January 2021                                                                                                                                                                                                                                                                         | February 2021                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 08:30 A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| SMTWTFS                     | SMTWTFS                                                                                                                                                                                                                                                                              | S M T W T F S                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 12:30 P                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 6 7 8 9 10 11 12            | 3 4 5 6 7 8 9                                                                                                                                                                                                                                                                        | 7 8 9 10 11 12 13                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 02:45 P                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
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| 27 28 29 30 31              | 24 25 26 27 28 29 30                                                                                                                                                                                                                                                                 | 28                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|                             | 31                                                                                                                                                                                                                                                                                   | · · · · · · · · · · · · · · · · · · ·                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|                             | Select an available month a<br>Find available seats in<br>Find available seats for<br>Select an available date<br>December 2020<br>S M T W T F S<br>1 2 3 4 5<br>5 7 8 9 10 11 12<br>13 14 15 16 17 10 19<br>20 21 22 23 24 20 26<br>27 28 29 30 31<br>If the available dates and ti | Select an available month and click the 'Go' button. Wh <ul> <li>Find available seats in a 3 month period.</li> <li>Find available seats for a specific day.</li> </ul> Select an available dates       January 2021         Sm T w T F S<br>1 2 3 4 5<br>7 8 9 10 11 12<br>13 14 15 15 17 18 19<br>20 21 22 23 24 25 8<br>17 28 29 30 31       January 2021         1 4 5 5 17 18 19<br>20 21 22 23 24 25 8<br>17 18 19 20 21 22 23<br>24 25 26 27 28 29 30<br>31 | Select an available month and click the 'Go' button. When the calendar(s) appear, select at a select an available seats for a specific day.         Image: Select an available seats for a specific day.         Select an available seats for a specific day.         Select an available seats for a specific day.         Select an available factor is a for a specific day.         Select an available factor is a for a specific day.         Select an available factor is a for a specific day.         Select an available factor is a for a specific day.         Select an available factor is a for a specific day.         Select an available factor is a for a specific day.         Select an available factor is a for a specific day.         Select an available factor is a for a specific day.         Select an available factor is a for a specific day.         Select an available factor is a for a specific day.         Select an available factor is a for a specific day.         Select an available factor is a for                                                                                                                                                                                           |

## A new window will appear

Fill in appropriate required information

 $\checkmark$  Click next to continue

| PROMETRIC                                                                                            |                                                                                                                                                                                                                                                                                                                                                                              |
|------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                      | English                                                                                                                                                                                                                                                                                                                                                                      |
| NEW APPOINTMEN                                                                                       | ADDITIONAL INFORMATION                                                                                                                                                                                                                                                                                                                                                       |
| Information Review                                                                                   | Additional Information                                                                                                                                                                                                                                                                                                                                                       |
| Privacy Policy Review<br>Eligibility Information<br>Appointment Selection                            | The name used to schedule your appointment must exactly match the name shown on your identification. At a minimum, the<br>identification must be a valid, government-issued ID that shows your name in the English alphabet, your signature and your photograph<br>Additional identification policies may apply; please check with your sponsoring organization for details. |
| Test Center Selection                                                                                | berdre proceeding, piease provide the following information.                                                                                                                                                                                                                                                                                                                 |
| Additional Information<br>Additional Information<br>Appointment Verification<br>Appointment Complete | Required fields are marked with an astensk (*)         * First (Given) Name:         * Last (Family) Name:         * Email Address:         * Validate Email:         Work/Day Phone:         Work/Day Phone Extension:         * Home (Evening) Phone:                                                                                                                      |
|                                                                                                      | Trusted Provider of Market Leading Test Development and Delivery Solutions                                                                                                                                                                                                                                                                                                   |

#### \*\*\*\*\*

#### Appointment Verification window will appear

- ✓ Select whether you would like a Text message reminder
- ✓ Enter cell number if selected
- ✓ Click Complete Appointment

| NEW APPOINTMENT:                                                                                                                                                                                                                                                     | 16-                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                       |   |
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| Information Review<br>Privacy Policy Review<br>Eligibility Information<br>Appointment Selection<br>Test Center Selection<br>Prometric Biometric Consent<br>Date and Time Selection<br>Additional Information<br>Appointment Verification<br>Appointment Verification | Appointment Verific:<br>To complete your appoin<br>Verify your appointment<br>Press the "Complete App<br>Name:<br>Program Name:<br>Appointment Name(s):<br>Test Center Code/Site                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | ation meent, yeu must: nformation animent" buton Daniel Traumilier FINRA Securities Industry Essentials (SIE) 4215 - Long Island - Westbury                                                                                                                           |   |
|                                                                                                                                                                                                                                                                      | Name:<br>Test Center Address:<br>Test Center Address:<br>Appointment Date:<br>Appointment Time:<br>Appointment Time: | 005 Merrick Ave., Suite 70N<br>Westbury<br>NY, USA<br>516-377-4022<br>10 46 AM<br>72 16<br>d message reminder for this appointment. (Text<br>apply)<br>Interent to protecting your privacy. We will not disclose, to third parkies, any specific personal identifying | 1 |
|                                                                                                                                                                                                                                                                      | Information about you with<br>By clicking the 'Complete,<br>cancellations, refunds and<br>< Back Complete                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | out your permission.<br>Appontment Euton below, you agree to all terms and conditions set to express the expression of the policies set forth by your sponsoring organization<br>appointment                                                                          |   |

#### Appointment Complete Window will appear

✓ An email will be sent to you confirmation your Appointment window

| Information Review          | Appointment Comple                                            | ete                                                                                                                               |                                                                             |
|-----------------------------|---------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|
| Privacy Policy Review       | Thank you far scheduling y                                    | ith Prometric                                                                                                                     |                                                                             |
| Eligibility Information     | mank you to schooling v                                       | and to mean.                                                                                                                      |                                                                             |
| Appointment Selection       | Please save the information                                   | n by either printing this page or writing it down.                                                                                |                                                                             |
| Test Center Selection       | Tour appointment request i                                    | as been commed with the following committation number(s)                                                                          |                                                                             |
| Prometric Biometric Consent | 000000094708322                                               |                                                                                                                                   |                                                                             |
| Vate and Time Palastian     |                                                               |                                                                                                                                   | Print Confirmation Print Receipt                                            |
| Jale and Time Selection     |                                                               |                                                                                                                                   | E-mail Receit                                                               |
| Additional Information      |                                                               |                                                                                                                                   | 0                                                                           |
| oppointment Verification    |                                                               |                                                                                                                                   |                                                                             |
| ppointment Complete         | Name:                                                         | Daniel Traumiller                                                                                                                 |                                                                             |
|                             | Address:                                                      |                                                                                                                                   |                                                                             |
|                             | Home (Evening) Phone:                                         | 5168159193                                                                                                                        |                                                                             |
|                             | Program Name:                                                 | FINRA                                                                                                                             |                                                                             |
|                             | Appointment Name(s):                                          | Securities Industry Essentials (SIE)                                                                                              |                                                                             |
|                             | Test Center Number:                                           | 4215 - Long Island - Westbury                                                                                                     |                                                                             |
|                             | Test Center Address:                                          | 865 Merrick Ave., Suite /UN<br>Worthung                                                                                           |                                                                             |
|                             |                                                               | NY LISA                                                                                                                           |                                                                             |
|                             | Test Center Phone #:                                          | 516-357-9292                                                                                                                      |                                                                             |
|                             | Appointment Date:                                             | 18 Dec 2020                                                                                                                       |                                                                             |
|                             | Appointment Time:                                             | 10.45 AM                                                                                                                          |                                                                             |
|                             | Appointment Duration:                                         | 02:15                                                                                                                             |                                                                             |
|                             | Price:                                                        | 0.00 USD                                                                                                                          |                                                                             |
|                             | Balance:                                                      | 0.00 USD                                                                                                                          |                                                                             |
|                             | E-mail:                                                       | trauma01@optonline.net                                                                                                            |                                                                             |
|                             | Payment Summary                                               |                                                                                                                                   |                                                                             |
|                             | You will receive an e-mail v<br>not receive your confirmation | within 15-minutes containing your appointment confirmation de<br>on email. Update your email security filters to allow emails fro | etails Please check your spam folder if you d<br>m donotreply@prometric.com |
| kEmailReceiptCaption',")    | The Prometric website, www                                    | w.orometric.com, is available 24 hours a day, seven days a w                                                                      | eek for you to reschedule, cancel or confirm                                |

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We have also set you up to receive SIE Training materials. <u>Please look out for an email from</u> <u>Tconsultatnts.com with instructions on how to receive access to your materials.</u> Thank you.